June 23, 2003

Date

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE.

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.		61022.00001				
First II	nventor	Matti	new McQuaid			· · ·
Title	BIOLOG	ICAL F	FLUID COLLECTION A	CCESSOF	RY DEVICE	•
Evnre	ss Mail Lah	al No	EL 806 909 799 115	<u> </u>	-	

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Commissioner for Patents **APPLICATION ELEMENTS** Mail Stop Patent Application ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 1. 🔯 Fee Transmittal Form (e.g., PTO/SB/17) .7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all-necessary) 3. Specification a. Computer Readable Form (CRF) [Total Pages (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention 9. - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R. 3.73(b) Statement 10. Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. English Translation Document (if applicable) 4. 🛛 12. 🔲 Copies of IDS Drawing(s) (35 U.S.C.113) [Total Sheets] Information Disclosure Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Sheets | 13. **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛚 Return Receipt Postcard (MPEP 503) Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🗌 Certified Copy of Priority Document(s) DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. 🖂 Other: General Authorization to Petition for Extensions of Time 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS (Insert Customer N Correspondence address below Customer Number or Bar Code Label ar code label here) Name PATENT TRADEMARK OFFICE Address City State Zip Code Telephone Country Fax Name (Print/Type) **Aaron Wininger** Registration No. (Attorney/Agent) 45,229

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 127 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450; Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

.23/03

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PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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FEE	TRANS	SMIT	TAL
4	for FY	2003	

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$) 573

Complete if Known						
Application Number	Unknown					
Filing Date	June 23, 2003					
First Named Inventor	Matthew McQuaid					
Examiner Name	Unknown					
Art Unit	Unknown					
Attorney Docket No.	61022.00001					

Check	METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Deposit   Account     Squire, Sanders & Demosey LLP.     1053   130   2051   60   605   60   605   60   605   60   60							
Deposit Account   D5-9150   D5-915		<u>Large</u>	Entity	Small E	ntity		
Deposit Account   Number						Fee Description Fee Paid	
Deposit	·		1			Surcharge - late filing fee or oath	
Account Name		1052	50	2052	25		
Account Name   Squire, Sanders & Dempsey LLP.   1812   2,520   1812   2,520   1814   2,520   1814   2,520   1814   320*   Requesting publication of SIR prior to Examiner action   Examiner ac	Deposit	1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)   S Charge fee(s) indicated below   ∑ (redit any overpayments   S Charge fee(s) indicated below   ∑ (redit any overpayments   S Charge fee(s) indicated below   ∑ (redit any overpayments   S Charge fee(s) indicated below, except for the filling fee to the above-identified deposits account.   1251   110   2251   55   Extension for reply within first morith   1252   110   1252	Account Squire, Sanders & Dempsey L.L.P.	1812	2,520	1812	2,520	For filing a request for reexamination	
S Charge any additional feets (s) unifigate pendency of this application of SIR after Examiner action		1804	920*	1804	920*	, , , , , , , , , , , , , , , , , , , ,	
The above identified deposit account.	☑ Charge fee(s) indicated below ☑ Credit any overpayments	1805	1,840*	1805	1,840*	•	
BASIC FILING FEE   Small Entity		1251	110	2251	55	Extension for reply within first month	
SASIC FILING FEE   Same Entity   Small Entity   S		1252	410	2252	205 .		
Large Entity	1 BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month	
Total Claims	Large Entity Small Entity	1254	1,450	2254	725	` *	
1001   750   2001   375   2011   160   2020   165   Design filing fee   1401   320   2402   160   Filing a brief in support of an appeal   1402   320   2403   140   Request for oral hearing   1451   1,510   1,510   1451   1,510   1,		1255	1,970	2255	985	Extension for reply within fifth month	
1002   330   2002   165   Design filing fee   1402   320   2402   166   Filing a brief in support of an appeal   1403   280   2403   140   Request for oral hearing   1404   1451   1,510		1401	320	2401	160	Notice of Appeal	
1403   520   2003   260   Plant filling fee   1403   280   2403   140   Request for oral hearing   Petition to institute a public use proceeding   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1451   1,510   1452   110   2452   55   Petition to revive – unavoidable   1452   110   2452   55   Petition to revive – unavoidable   1452   110   2452   55   Petition to revive – unavoidable   1452   110   2452   55   Petition to revive – unavoidable   1502   470   2502   235   1503		1402	320	2402	:160	Filing a brief in support of an appeal	
1004   750   2004   375   Reissue filling fee   1451   1,510   1	i	1403	280	2403	140	Request for oral hearing	
SUBTOTAL (1) (\$) 375   1453   1,300   2453   650   Petition to revive – unintentional   1453   1,300   2453   650   Petition to revive – unintentional   1501   1,300   2501   650   Utility issue fee (or reissue)   1502   470   2502   235   Design issue fee   1503   630   2503   315   Plant issue fee   1503   630   Processing fee under 37 CFR 1.17 (q)   1807   500   1807   500   Processing fee under 37 CFR 1.17 (q)   1807   500   1807   500   Processing fee under 37 CFR 1.17 (q)   1807   500   1807   500   1800	1004 750 2004 375 Reissue filing fee	1451	1,510	1451	1,510	· · · · · · · · · · · · · · · · · · ·	
2. EXTRA CLAIM FEES    Extra	1005 160 2005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable	
2. EXTRA CLAIM FEES    Extra   Fee from   Fee   1503   630   2503   315   Plant issue fee	SUBTOTAL (1) (\$) 375	1453	1,300	2453	650	Petition to revive – unintentional	
Extra   Fee from   Fee   Paid		1501	1,300	2501	650	Utility issue fee (or reissue)	
Total Claims 28 -20 ** = 8		1502	470	2502	235	Design issue fee	
Total Claims	— · .,	1503	630	2503	315	Plant issue fee	
Independent Claims  6  -3 ** = 3		1460	130	1460	130	Petitions to the Commissioner	
Claims 6 -3 ** = 3	ndependent	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Dependent  Large Entity Small Entity Fee Fee Fee Code (\$)	Claims 6 -3 ** = 3 X 42 = 126	1806	180	1806	180	•	
Fee Fee Fee (\$) Fee Description  1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims over original patent **Reissue claims in excess of 20 and over original patent  1205 18 2205 9 **Reissue claims in excess of 20 and over original patent **Reissue claims in excess of 20 and over original patent **Reissue claims in excess of 20 and over original patent **Reissue claims in excess of 20 and over original patent **Reissue claims in excess of 20 and over original patent **Reissue claims in excess of 20 and over original patent **Reissue claims in excess of 20 and over original patent **Reissue claims in excess of 20 and over original patent **Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$) 0	Dependent	8021	40	8021	40	per property (times number of	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims over original patent  1204 84 2205 9 **Reissue claims in excess of 20 and over original patent  1205 18 2205 9 **Substitute of a design application of a design application  1206 **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	Fee Fee Fee Fee Description	1809	750	2809	375	Filing a submission after final rejection	
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 "Reissue independent claims over original patent "Reissue claims in excess of 20 and over original patent "Reissue claims in excess of 20 and over original patent "SUBTOTAL (2) (\$) 198  1801 750 2801 375 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application  Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	1202 18 2202 9 Claims in excess of 20	1810	750	2810	375	For each additional invention to be	
1204 84 2204 42 ** Reissue independent claims over original patent  1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 198  **Reissue independent claims over original patent  Other fee (specify)  **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0		4001	750	10001	575		
1204 84 2204 42 original patent  1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$) 198  **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	** Paissue independent claims over	1801	750 .	2801	. 3/5 f	Request for Continued Examination (RCE)	
Other fee (specify)  SUBTOTAL (2) (\$) 198  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	original patent	1802	900 l	1802	900	· · · · · · · · · · · · · · · · · · ·	
SUBTOTAL (2) (\$) 198  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	1905 18 1 2205 9						
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0	SUBTOTAL (2) (\$) 198						
**or number previously paid, if greater; For Reissues, see above	(4) 100	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0					
	**or number previously paid, if greater; For Reissues, see above						

SUBMITTED BY		Co	Complete (if applicable)			
Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent)	45,229	Telephone	650.856.6500	
Signature		C ~		Date	June 23, 2003	

WARNING: Information on this form may become public. Credit fard information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT

Attorney Docket No.: 61022.00001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Examiner:

Unknown<sup>-</sup>

Matthew McQuaid

Serial No:

Unknown

Art Unit:

Unknown

Filed:

June 23, 2003

For:

**BIOLOGICAL FLUID COLLECTION** 

ACCESSORY DEVICE

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## GENERAL AUTHORIZATION TO PETITION FOR EXTENSIONS OF TIME

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant hereby authorizes and requests the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefore for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. <u>05-0150</u>.

Date: June 23, 2003

Respectfully submitted,

Squire, Sanders & Dempsey L.L.P. 600 Hansen Way
Palo Alto, CA 94304-1043

Telephone (650) 856-6500 Facsimile (650) 843-8777 By: \_\_\_\_\_\_ Aaron Wininger, Esq.

Attorney for Applicant

Reg. No. 45,229